

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No. 3568.075

First Inventor or Application Identifier Klotzer

Title EXPANDED POROUS THERMOPLASTIC POLYMER MEMBRANES
AND METHOD FOR THE PRODUCTION THEREOF

Express Mail Label No. EL915419235US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: U.S. Patent & Trademark Office
Box Patent Application
P.O. Box 2327
Arlington, VA 22202

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 C.F.R. 1.27
3. ☒ Specification [Total pages 18]
(preferred arrangement set forth below)
- Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Federally Sponsored R&D
 - Reference to sequence listing, a table or a computer program listing
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages 1]
5. Oath or Declaration [Total Pages 4]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
§§1.53(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on
- i. ☐ CD-ROM or CD-R (2 copies) or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English translation document (if applicable)
12. ☐ Information Disclosure Statement (IDS) /PTO 1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B) (i)
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: 1.) Check in the amount of \$ 1020
2.) Certificate of Mailing by Express Mail
NO. EL915419235US

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/642,390

Prior application information: Examiner Bagwell, M. Group No./Art Unit 1711

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000152
(Insert customer number or attach bar code label here) or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

FAX

Name (print/ type) Dennis E. Stenzel

Registration No. (Attorney/Agent)

28,763

Signature

Date

Jan 22 '02

01/22/02

JC928

FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.**Complete If Known*

| | |
|----------------------|--|
| Application Number | Divisional of 09/642,390 filed August 18, 2000 |
| Filing Date | (concurrently herewith) |
| First Named Inventor | Klotzer |
| Examiner Name | Bagwell, M. |
| Group/ Art Unit | 1711 |
| Attorney Docket No. | 3568.075 |

TOTAL AMOUNT OF PAYMENT \$1,020

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550

Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | \$740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | \$740 |

2. EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|-----|--------------|----------------|----------|
| Total Claims | 12 | -20** = 0 | x 18 = 0 | |
| Indep. Claims | 1 | -3** = 0 | x 84 = 0 | |
| Multiple Dependent | YES | | YES = \$280 | |

*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | *Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$280

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

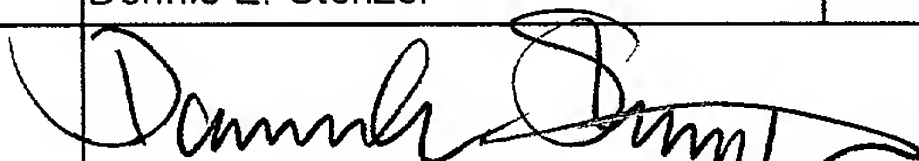
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge-late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 C.F.R. 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 C.F.R. 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for Expedited Examination of a Design Application | |
| Other (specify) | | | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|--|-----------------|------------|-----------|----------------|
| Name (print type) | Dennis E. Stenzel | Registration No | 28,763 | Telephone | (503) 227-5631 |
| Signature |  | Date | Jan 22 '02 | | |